

Please type a plus sign (+) inside this box → ☐

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

PTO/SB/21 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

<b>TRANSMITTAL FORM</b> <i>(to be used for all correspondence after initial filing)</i>	<b>Application Number</b>	09/868,686	
	<b>Filing Date</b>	February 8, 1999	
	<b>First Named Inventor</b>	O'Connor	
	<b>Group Art Unit</b>		
	<b>Examiner Name</b>		
<b>Total Number of Pages in This Submission</b>	1	<b>Attorney Docket Number</b>	05222.00157

ENCLOSURES (check all that apply)		
<input type="checkbox"/> Fee Transmittal Form  <input type="checkbox"/> Fee Attached  <input type="checkbox"/> Amendment / Response  <input type="checkbox"/> After Final  <input type="checkbox"/> Affidavits/declaration(s)  <input type="checkbox"/> Extension of Time Request  <input type="checkbox"/> Express Abandonment Request  <input checked="" type="checkbox"/> Information Disclosure Statement  <input type="checkbox"/> Certified Copy of Priority Document(s)  <input type="checkbox"/> Response to Missing Parts/Incomplete Application  <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application)  <input type="checkbox"/> Drawing(s)  <input type="checkbox"/> Licensing-related Papers  <input type="checkbox"/> Petition  <input type="checkbox"/> Petition to Convert to a Provisional Application  <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address  <input type="checkbox"/> Terminal Disclaimer  <input type="checkbox"/> Request for Refund  <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance Communication to Group  <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences  <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)  <input type="checkbox"/> Proprietary Information  <input type="checkbox"/> Status Letter  <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): <b>Form 1449</b> <b>Return Receipt Postcard</b>
<b>Remarks</b>		<b>Please charge any additional fees or credit overpayment to Deposit Account No. 01-0850. A duplicate copy of this sheet is enclosed.</b>

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
<b>Firm or Individual name</b>	Charles L. Miller Banner & Witcoff, Ltd.
<b>Signature</b>	
<b>Date</b>	February 4, 2002

CERTIFICATE OF MAILING	
I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date: <b>RECEIVED</b>	
<b>Typed or printed name</b>	<b>JUL 01 2002</b>
<b>Signature</b>	<b>Date</b>

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



02-11-02  
2122

37TT

**CERTIFICATE OF MAILING BY EXPRESS MAIL**  
(Attorney Docket No. 05222.00157)

Express Mail No EV028365743US

Deposited February 7, 2002

I hereby certify that the attached correspondence, identified below, is being deposited with the United States Postal Service as "Express Mail Post Office to Addressee" under 37 CFR § 1.10 on the date indicated above and is addressed to the Commissioner for Patents, Washington, DC 20231.

By:

*Tony Harris*  
(person actually depositing)

In the Application of: O'Connor, et al.

Serial Number: 09/868,686

Filing Date: February 8, 1999

Title: A System, Method and Article of Manufacture for a Goal Based Educational System  
with Support for Dynamic Tailored Feedback

☒ Information Disclosure Statement

☒ Form 1449

☒ Transmittal letter

☒ Return Postcard

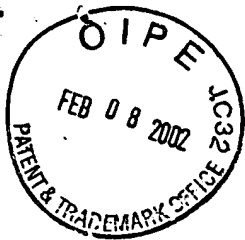
Attorney Docket No.: 05222.00157

RECEIVED  
APR 23 2002  
Technology Center 2100

RECEIVED  
JUL 01 2002  
TECHNOLOGY CENTER R3700

RECEIVED  
SEP 10 2002  
TECHNOLOGY CENTER R3700

RECEIVED  
JUL 22 2002  
TECHNOLOGY CENTER R3700



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE  
(Attorney Docket No. 05222.00157)

Handwritten: *ST 6 HOS*  
*Wrya*  
*8/27/04*

In the Application of: )  
O'Connor, et al. )  
Serial No.: 09/868,686 )  
Filed: February 8, 1999 )  
For: System, Method and Article of )  
Manufacture for ..... )

Group Art Unit: n/a TECHNOLOGY CENTER R3700

Examiner: n/a

RECEIVED

JUL 22 2002

RECEIVED

APR 23 2002

Technology Center 2100

**INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

Pursuant to 37 C.F.R. Section 1.97-1.98, applicants wish to make the following references of record in the above-identified application. These references may be material to the patentability of the presently pending claims. A completed Form -1449 is enclosed. Due to the large number of references and large number of related applications, the Applicant filed a single copy of all of the references in U.S. patent Application Serial No. 09/219,478 in an Information Disclosure Statement filed February 7, 2002. U.S. Patent Application Serial No. 09/219,478 is assigned to Examiner Ingberg in Group Art Unit 2122.

A Protest under 37 C.F.R. 1.291 was filed in U.S. Patent Application Serial No. 09/219,478. The Applicant is attaching a copy of the Protest, as well as a Response filed in the same application by the Applicant. The prosecution of U.S. Patent Application Serial No. 09/219,478 remains open. The Protest and Response are attached as a courtesy to the Examiner, but are not being listed on the attached Form-1449, because they are not prior art references.

This Information Disclosure Statement is being filed within three months of the application filing date or before the mailing of a first Office Action on the merits, accordingly, no fee or separate requirements are required. However, if a fee is due, it

RECEIVED

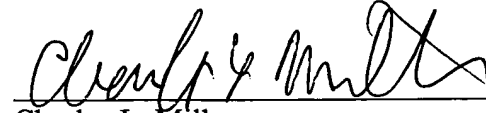
JUN 01 2002  
TECHNOLOGY CENTER R3700

RECEIVED  
FEB 15 2002  
TC 3700 MAIL ROOM

connection with this filing, please charge any fees or credit overpayment associated with this paper to Deposit Account No. 01-0850

Respectfully submitted,  
BANNER & WITCOFF, LTD.

Date: February 7, 2002

A handwritten signature in cursive script, appearing to read "Charles L. Miller", written over a horizontal line.

Charles L. Miller  
Reg. No. 43,805